

SUMMARY OF MATERIAL INCORPORATED BY REFERENCE

804 KAR 4:415. Direct shipper license.

DELETIONS:

The “Online Direct Shipper License Application”, December 2020 is to be deleted because the direct shipper license can be applied for using the “New License Application” which dynamically provides questions based upon user inputs incorporated by reference within 804 KAR 4:400.

As Amended at L+O, 12-14-2020
Staff-suggested Amendment

Version 11/24/2020
PUBLIC PROTECTION CABINET
Department of Alcoholic Beverage Control

804 KAR 4:415. Direct shipper license.

Page 5

Section 8

Line 3

After "with KRS 244.050.", return and insert the following:

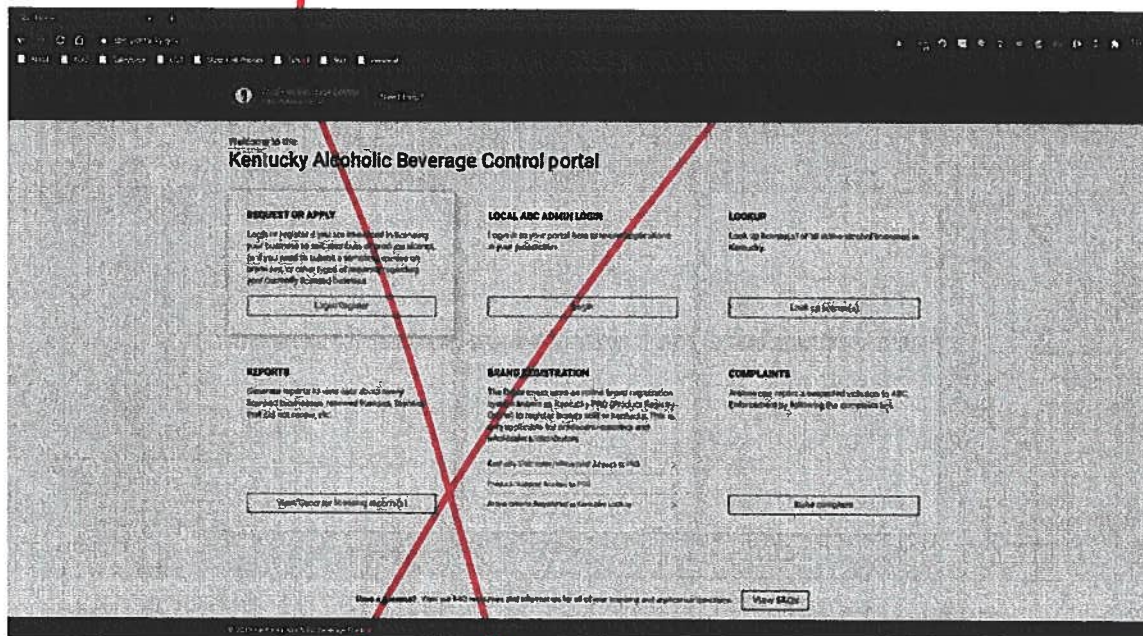
Section 9. Incorporation by Reference. (1) "Online Direct Shipper License Application", December 2020, is incorporated by reference.

(2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at Department of Alcoholic Beverage Control, 500 Mero Street, 2 NE 33, Frankfort, KY 40601, Monday through Friday, 8:00 a.m. to 4:30 p.m.

Online Direct Shipper License Application
Dec. 2020

Applicant/Licensee Application Submission

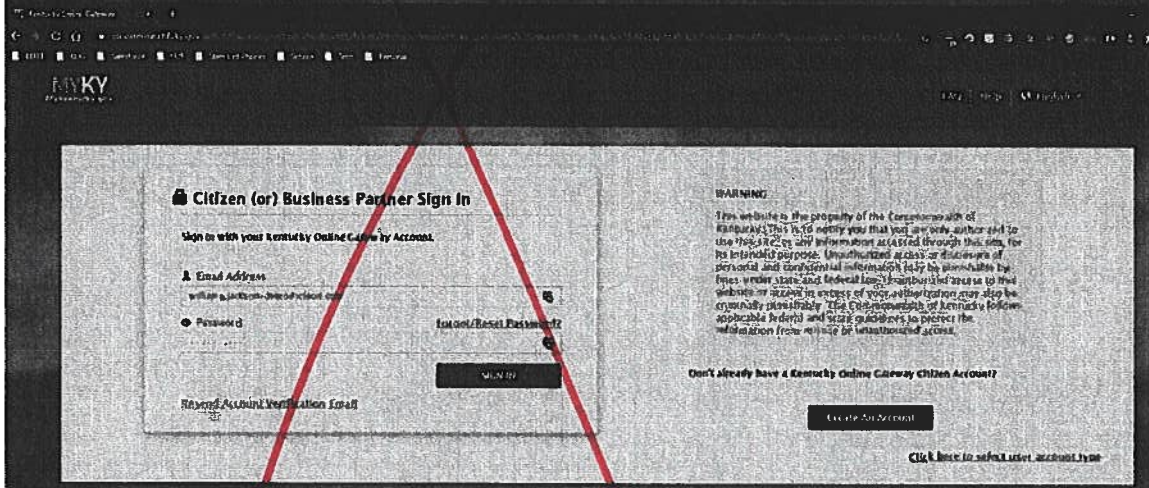
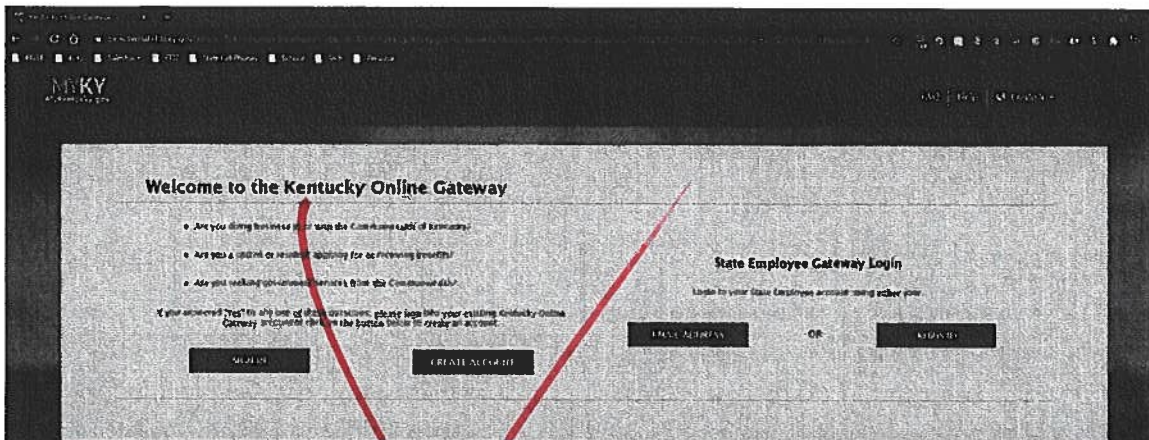
Navigate to <https://abc-portal.ky.gov/s/>



Under the Request or Apply tile select "Login/Register".



Log in to Kentucky Online Gateway as a Business User



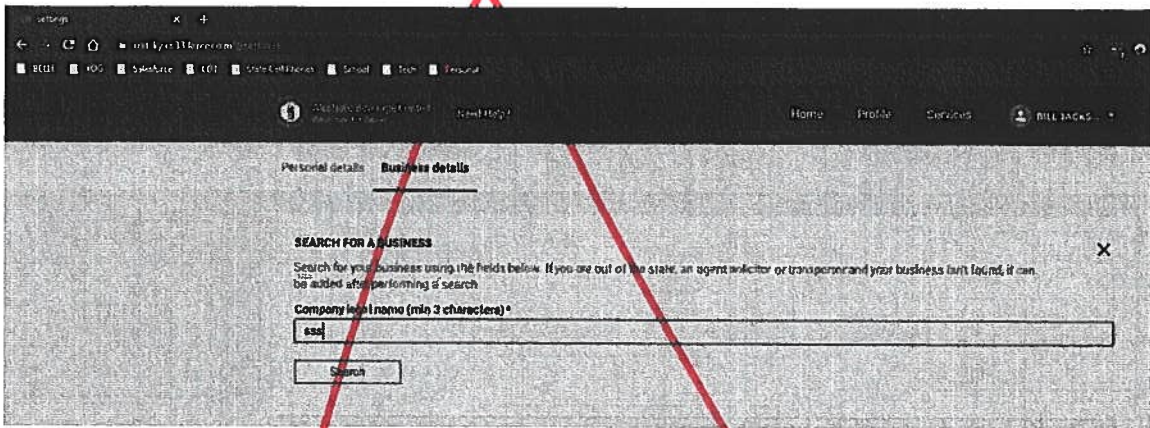
After successful login and select "Profile"



Go to Business Details tab and click "+Add a Business"



Search for the Business. As this is a new out of state business the search will not have a result. (Out of state businesses are not required to register with SOS)



Click on "New Out of state Manufacturer" radio button and then click "Add your Business Now"

Personal details Business details

SEARCH FOR A BUSINESS

Search for your business using the fields below. If you are out of the state, an agent solicitor or transporter and your business isn't found, it can be added after performing a search.

Company legal name (min. 3 characters) *

SSS

Search

Search Results

S.No.	COMPANY NAME	ADDRESS	CITY, STATE, ZIP	ADD BUSINESS
1	SSS TOTAL FURNITURE GROUP INC	1825 NW 167TH ST STE 155	MIAMI GARDENS, Florida 33169	Select
2	SSS Wiles	151 Industry Rd	Georgetown, Kentucky 40324	Select
3	VEER SSS INC			Select

CANT FIND YOUR BUSINESS ABOVE? IF YOU ARE OUT OF STATE OR SOLE PROPRIETOR PLEASE ADD YOUR BUSINESS BELOW.

New Special Agent / Solicitor New transporter New out of state supplier New sole proprietor New Out of state manufacturer

Add your business now

Can't find your business? [Submit a request for administrative review](#) [Add via SOS ID](#)

Enter all the required business details and select "+ Add"

The screenshot shows a web form for business registration. The form is titled "Business details" and includes the following fields:

- Company legal name: New Out of state (Out of State Site) Manufacturer, LLC
- SELECT BUSINESS COUNTRY: USA (selected), Other
- Find corporate business address: 215 Michig
- Street Address: 215 E Michigan Ave
- Street address 2: (empty)
- City: Kalamazoo
- State: Michigan
- Zip: 49007
- Country: United States
- FEIN: 111111111
- Licensee contact first name: Test
- Licensee contact last name: Licensee1
- Licensee contact phone: (555) 555-5555
- Licensee contact email: test@gmail.com

Buttons: "+ Add" and "Cancel". A large red 'X' is drawn over the entire form.

Now click on "+ Add a Site" under the Business that was just created

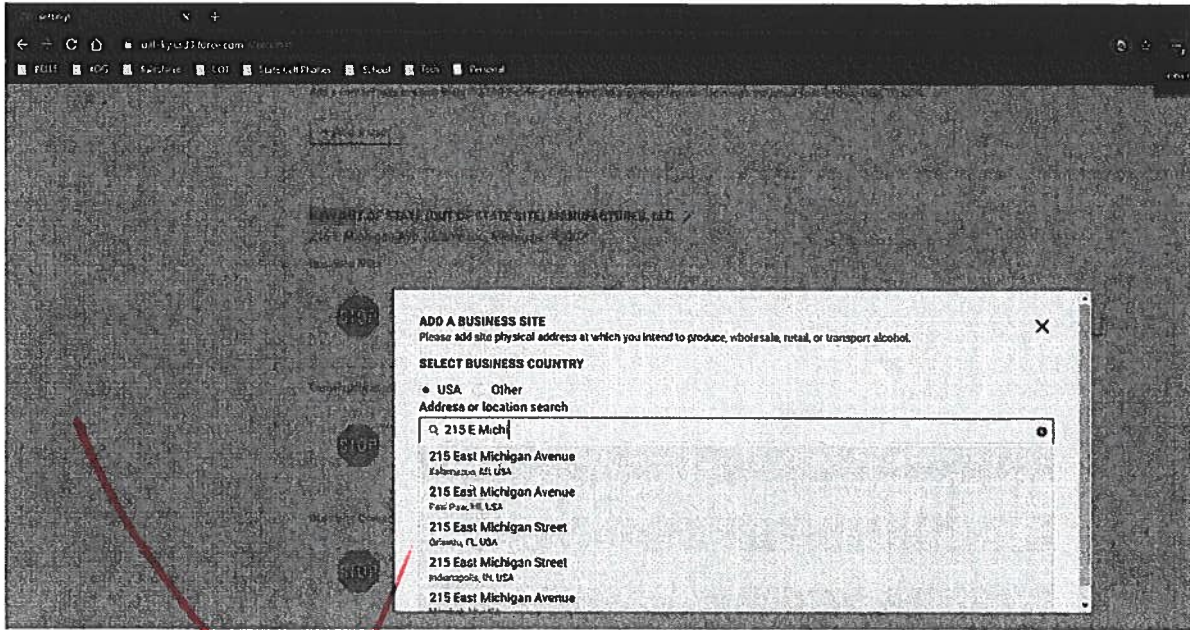
The screenshot shows a business profile page for "TEST NEW OFS BUSINESS" at "45454 Steer Horn Neck Rd, Hollywood, Maryland - 20636". The page has three main sections:

- Business sites:** A "STOP" icon and the text "Please add your sites of business operation here before you can apply." with a "+ Add a site" button.
- Owner/Officer Information:** A "STOP" icon and the text "Complete the following for the business proprietor, partner(s) and all the persons having interest in the business to be licensed. List all the owners, officers, directors, partners, managing members, members and shareholders. If privately held, show 100% of ownership. If publicly traded, list the three highest ranking officers and any natural person who owns ten (10) percent or more. If non-profit, list the highest ranking director or officer." with an "Add" button.
- Business Contacts:** A "STOP" icon and the text "Add any specific contacts that would be designated for the licensing or annual renewal process" with an "Add" button.

At the bottom, there is a "STAR Server Training" section with the text "Add a contact here to enroll them in STAR Training. Once enrolled they must register/login with the email specified in a LPR: TO K04" and a "+ Add a user" button.

A large red 'X' is drawn over the entire page.

Search for the Site Address (Out of state address) and enter all required information to add a site.



ADD A BUSINESS SITE

Please add site physical address at which you intend to produce, wholesale, retail, or transport alcohol.



SELECT BUSINESS COUNTRY

USA Other

Address or location search

🔍 215 E Michi



📍 Search by a location name or address to automatically populate the address fields below (optional)

DBA/Business name *

Test Shipper DBA

Selected address

215 E Michigan Ave, Kalamazoo, Michigan, United States

Site contact information

Site contact first name *

Site

Site contact last name *

Contact1

Site contact phone *

(444) 444-4444

Site contact email *

jfdksjf@kkks.com

Secondary phone

Secondary email

Site premises phone

Site premises phone extension

Site business information

Business type: *

Shipper

Site real estate

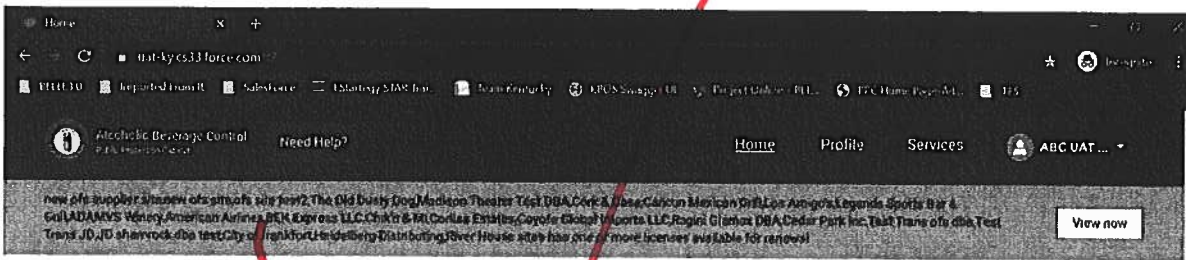
Is the Licensee the real estate owner? *

Yes No

Save

Cancel

Go to Home and click on "New Request/Application"

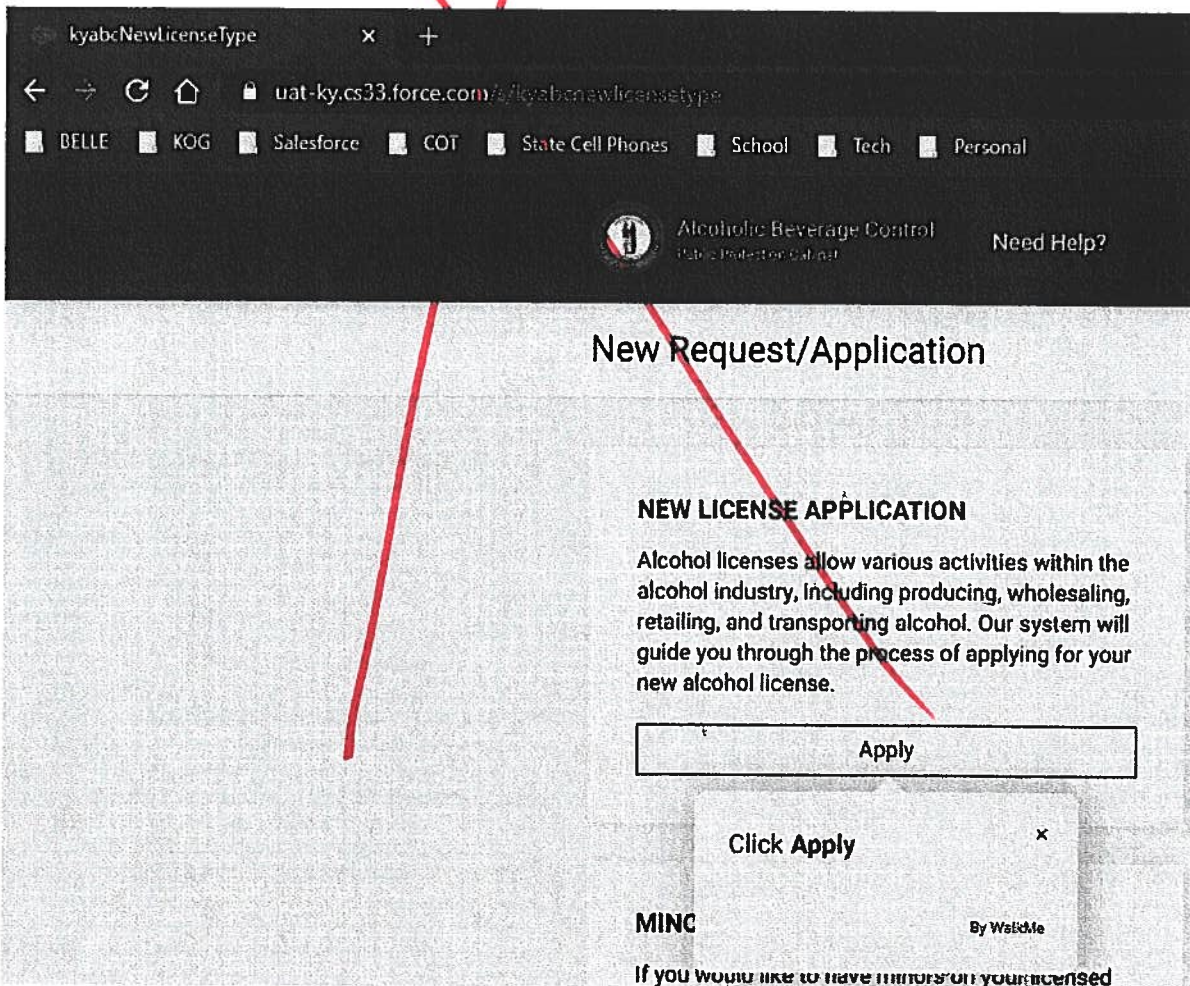


Welcome to the Kentucky Alcoholic Beverage license portal!

New Request/Application

Application status My license(s)

Select "Apply" from the New License Application tile.



Follow the pages. In Business details page select the above created out of state business and site.

INSTRUCTIONS X

General Requirements

- You must be at least 21 years old to apply.
- You must be a U.S. citizen or a corporation, limited liability company, limited partnership, or other legally recognized business entity.

Local Approval Process

- There may be local requirements and fees. Local approval of an application is generally required before a state license will be issued (some exceptions apply). Applications to the state and local authorities can be submitted during the same time frame. Visit <http://abc.ky.gov/> to find the local ABC administrator in your area.

Applications

- Advertise the intent to apply for an ABC license(s) one time in the newspaper of record where the business is located. (See a sample newspaper ad below). Upload the newspaper advertisement to your online application, with the date clearly visible.
- Administrators retain the discretion to require background checks at any time.
- Upload a copy of the organization documents from the KY Secretary of State's Office if you are applying as a corporation, limited liability company, limited partnership, or other legally recognized business entity.
- Upload a copy of the deed, lease or other legal document indicating ownership, control or permission to operate on the premises.
- Additional documents may be required depending on your license type, which the system will prompt you to upload as needed.
- Contact the Kentucky Revenue Cabinet at (502) 564-3306 to get the Kentucky required tax numbers for the business.
- Contact the Kentucky Business OneStop at (502) 564-5053 to get the Kentucky CBI number for the business.

NO CASH OR PAPER CHECK PAYMENTS ACCEPTED - ALL PAYMENTS MUST BE MADE ONLINE VIA CREDIT, DEBIT OR ACH PAYMENT.

Example of Newspaper Advertisement:

Continue with Application

My New Application

Account: [Name] | [Address] | [Phone] | [Email]

Need Help?

Services | BILL JACKS...

New Application [View our help and FAQs](#)

1 Personal Details

2 Business Details

3 Selected Licenses

4 Affidavit

5 Documents

6 Payment

7 Submission & Status

PERSONAL DETAILS

First name* Middle name Last name*

SELECT BUSINESS COUNTRY

USA Other

Address or location search

Street address* Street address 2

City* State*

Zip* Country*

Phone number* Email address*

Next

Fill out the details below then click Next
Fields marked with * are required

My New Application

Account: [Name] | [Address] | [Phone] | [Email]

Need Help?

Services | BILL JACKS...

New Application [View our help and FAQs](#)

1 Personal Details

2 Business Details

3 Selected Licenses

4 Affidavit

5 Documents

6 Payment

7 Submission & Status

BUSINESS SELECTION

Choose from your saved businesses Choose a site

DON'T SEE YOUR BUSINESS OR SITE?

SITE DETAILS

The site details below are pulled from your profile. If it needs to be modified please visit the Business details page before proceeding with your application.

Site name*

Shipping Country

Shipping Street

Shipping City Shipping State/Province Code

Save and Exit | Exit

Fill out the details below then click Next
Fields marked with * are required

Shipping Zip/Postal Code
49017

Site contact first name *
Test

Site contact last name *
User

Site phone number *
(555) 555-5556

Site email address *
kjfdad@jij.com

BUSINESS CONTACTS

CONTACT NAME	DESIGNATION	CONTACT TYPE	ADDRESS

LICENSE QUESTIONS

- Does the applicant own the premises to be licensed or have possession of it under a written agreement, such as a lease? *
Yes No
- Has the applicant or any person listed above ever been licensed to produce, sell, or otherwise traffic in alcoholic beverages? *
Yes No
- Will tobacco products, alternative nicotine products or vapor products be sold at the premises to be licensed? *
Yes No
- Are you purchasing, or have you recently purchased this business? *
Yes No
- Will gasoline or lubricating oil be sold or will motor vehicles be serviced or repaired at the premises to be licensed? *
Yes No

Back Next

In selected license page the system will default to only "Shippers" license if they are applying only for the out of state manufacturers license. (All other ways to apply will depend on other licenses types selected. See introduction.)

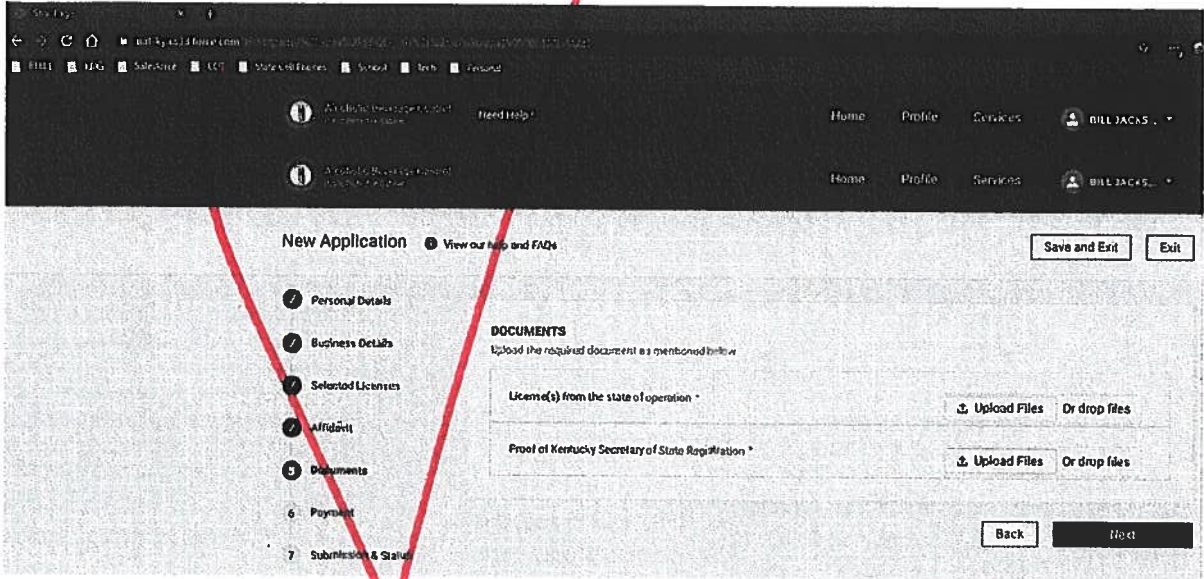
Configure Products

Licenses

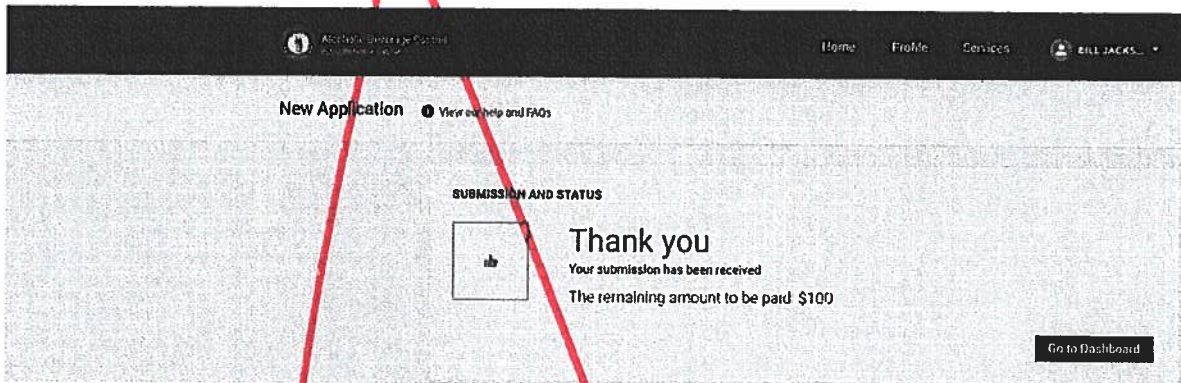
QUANTITY	PRODUCT NAME	PRODUCT DESCRIPTION	UNIT PRICE
1	Shippers License	This license covers the transport of alcohol by persons (Out of State Manufacturers)	\$100.00

Select your preferred License options then click Save

Upload necessary documents and click submit (See introduction)



Depending on which way the applicant applies there may be no application fee. (See introduction)



New Application/Request

NEW LICENSE APPLICATION

Alcohol licenses allow various activities within the alcohol industry, including producing, wholesaling, shipping, retailing, and transporting alcohol. Our system will guide you through the process of applying for your new alcohol license.

Apply

TEMPORARY LICENSE APPLICATION

Temporary licenses are for events such as fairs and festivals. Our system will guide you through the process of applying for your temporary alcohol license.

Apply

TRANSFER OF ALCOHOL REQUEST

If you would like to transfer alcohol from your business located in one county to your business located in another county, please submit a transfer of alcohol request. You will receive approval shortly.

Apply

MINOR(S) ON PREMISES REQUEST

If you would like to have minor on your licensed premises, please submit a request. You will receive approval shortly.

Apply

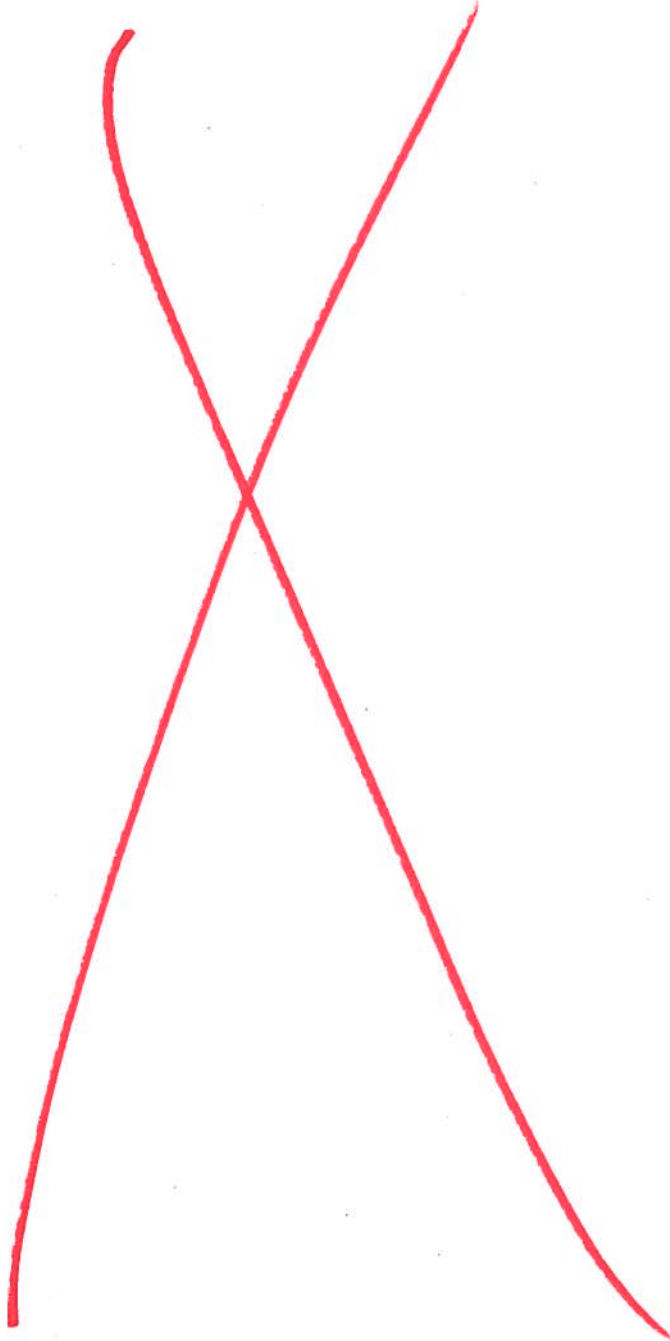
FREE SAMPLING NOTICE

If you would like to have a sampling event at your licensed premises, please submit a sampling notice.

Apply

VINTAGE SPIRITS SALE NOTICE

Apply



INSTRUCTIONS



General Requirements

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Local Approval Process

- There may be local requirements and fees. Local approval of an application is generally required before a state license will be issued (some exceptions apply). Applications to the state and local authorities can be submitted during the same time frame.

Applications

- Advertise the intent to apply for an ABC license(s) one time in the newspaper of record where the business is located. (See a sample newspaper ad below). Upload the newspaper advertisement to your online application, with the date clearly visible.
- Administrators retain the discretion to require background checks at any time.
- Upload a copy of the organization documents from the KY Secretary of State's Office if you are applying as a corporation, limited liability company, limited partnership, or other legally recognized business entity.
- Upload a copy of the deed, lease or other legal document indicating ownership, control or permission to operate on the premises.
- Additional documents may be required depending on your license type, which the system will prompt you to upload as needed.
- Contact the Kentucky Revenue Cabinet at (502) 564-3306 to get the Kentucky required tax numbers for the business.
- Contact the Kentucky Business OneStop at (502) 564-5053 to get the Kentucky CBI number for the business.

NO CASH OR PAPER CHECK PAYMENTS ACCEPTED – ALL PAYMENTS MUST BE MADE ONLINE VIA CREDIT, DEBIT OR ACH PAYMENT.

Example of Newspaper Advertisement:

- ABC Company, Inc. d/b/a Your Liquor Place hereby declares its intention(s) to apply for a [insert license names] license no later than September 30, 2019. The licensed premises will be located at 123 Only Street, Somewhere, Kentucky, 40000. The owner and president is Sally Smith, 456 Lone Alley, Anywhere, Kentucky, 40001. Any person, association, corporation, or body politic may protest the granting of the license(s) by writing the Department of Alcoholic Beverage Control, 500 Mero Street 2NE33, Frankfort, Kentucky, 40601, within thirty (30) days of the date of legal publication.

Continue with Application

Apply

MINOR(S) ON PREMISES REQUEST

If you would like to have minor on your licensed premises, please submit a request. You will receive approval shortly.

Apply

FREE SAMPLING NOTICE

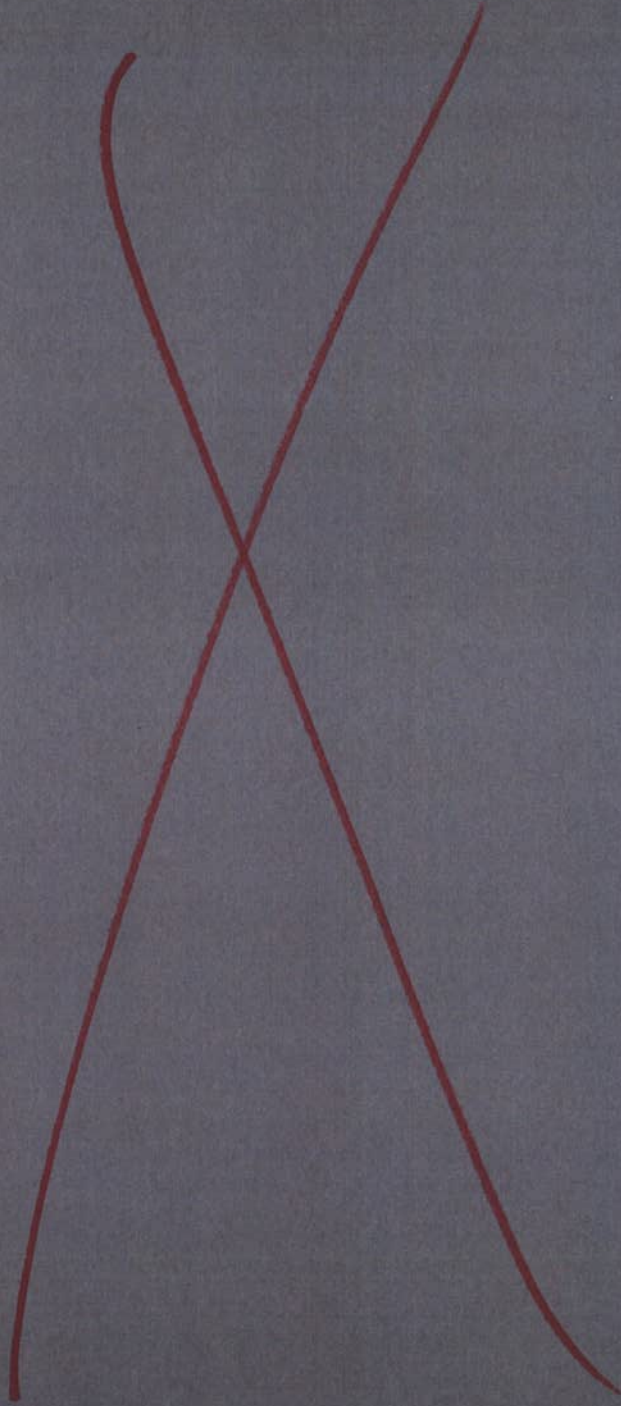
If you would like to have a sampling event at your licensed premises, please submit a sampling notice.

Apply

VINTAGE SPIRITS SALE NOTICE

If you would like to purchase vintage spirits, please provide notice.

Apply



Company Name Test Supplier DBA Test

COMPANY INFORMATION


Company Name Test Supplier Street Address 456 Main St Country US City Chicago State Illinois Zip 44564

SITE INFORMATION

DBA Test Site ID 33050 Site Address 76 Delta Ave Country United States City Adair State Iowa Zip 50002

OutOfState

Owners/Officers

Owner Name	Ownership %	Title	Phone Nu...	ADDRESS	Email	
Test Test	100	Owner	5555555555	500 Mero St # 2, Frankfort, Test 40601	test@test.co	 Edit

Does the applicant own the premises to be licensed or have possession of it under a written agreement, such as a lease?*

- Yes
 No

Has the applicant or any person listed above ever been licensed to produce, sell, or otherwise traffic in alcoholic beverages?*

- Yes
 No

Will tobacco products, alternative nicotine products or vapor products be sold at the premises to be licensed?*

- Yes
 No

Are you purchasing, or have you recently purchased this business?*

- Yes
 No

Will gasoline or lubricating oil be sold or will motor vehicles be services or repaired at the premises to be licensed?*

- Yes
 No

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> Next

[Company Details](#) |
 [Licenses](#) |
 [Selected Licenses](#) |
 [Affidavit](#) |
 [Documents](#) |
 [Payment](#) |
 [Submission & Status](#)

[Refresh](#)

<input type="checkbox"/>	Product Type	Product Details	Ha...	On...	Tw...	Tr...
<input type="checkbox"/>	Air Transporter License	This license allows the transport of alcohol by air.	260.00	520.00	N/A	N/A
<input type="checkbox"/>	Authorized Public Consumption License	This license allows you to produce, possess, and use alcohol in the manufacture of fuel ethanol.	125.00	250.00	N/A	N/A
<input type="checkbox"/>	Bottling House or Bottling House Storage License	This license allows you to bottle and store liquor at the licensed premises.	515.00	1030.00	N/A	N/A
<input type="checkbox"/>	Brewer's License	This license is for a business that brews beer or cider under 7.0% ABV. This class is for businesses that brew at least 50,000 barrels annually.	1290.00	2580.00	5160.00	N/A
<input type="checkbox"/>	Caterer's License	This license allows catering businesses to sell or serve alcohol accompanied by food at events around Kentucky.	415.00	830.00	N/A	N/A
<input checked="" type="checkbox"/>	Direct Shipper License	This License allows the transport of alcohol by in-state/Out-of-state producer.		100.00	N/A	N/A
<input type="checkbox"/>	Distilled Spirits and Wine Storage License	This license allows you to store liquor and wine.	310.00	620.00	N/A	N/A
<input type="checkbox"/>	Distiller's License - Class A	This license is for a business that distills spirits. This class is for businesses that distill at least 50,000 gallons annually.	1545.00	3090.00	6180.00	N/A
<input type="checkbox"/>	Distiller's License - Class B	This license is for a business that distills spirits. This class is for businesses that distill less than 50,000 gallons annually.	500.00	1000.00	2000.00	N/A
<input type="checkbox"/>	Distributor's License	This license allows a business to purchase beer from breweries and sell it to retailers.	260.00	520.00	1040.00	N/A

<input type="checkbox"/>	In-State Distilled Spirits Supplier's License	wineries, distilleries, or wholesalers that want to ship more than 50,000 gallons of wine and/or liquor annually to Kentucky wholesalers.	775.00	1550.00	3100.00	N/A
<input type="checkbox"/>	Limited Golf Course License	This license allows you to sell beer, wine, and liquor by the drink to customers at your golf course.	360.00	720.00	N/A	N/A
<input type="checkbox"/>	Limited In-State Distilled Spirits Supplier's License	This license is for In-state wineries, distilleries, or wholesalers that want to ship less than 50,000 gallons of wine and/or liquor annually to Kentucky wholesalers.	130.00	260.00	520.00	N/A
<input type="checkbox"/>	Limited Out-of-State Distilled Spirits and Wine Supplier's License	This license is for out-of-state wineries, distilleries, or wholesalers that want to ship less than 50,000 gallons of wine and/or liquor annually to Kentucky wholesalers.	130.00	260.00	520.00	N/A
<input type="checkbox"/>	Limited Out-of-State Malt Beverage Supplier's License	This license is for out-of-state breweries or distributors that want to ship less than 25,000 barrels/775,000 gallons of beer (or cider less than 7% ABV) annually to Kentucky distributors.	130.00	260.00	520.00	N/A
<input type="checkbox"/>	Malt Beverage Storage License	This license allows you to store beer if your business is storing additional product at a storage location.	130.00	260.00	N/A	N/A
<input type="checkbox"/>	Microbrewery License	This license is for a business that brews beer or cider under 7.0% ABV. This class is for businesses that brew less than 50,000 barrels annually.	260.00	520.00	1040.00	N/A
<input type="checkbox"/>	NQ Retail Malt Beverage Package License	This license allows you to sell beer by the bottle, crowler/growler, case, or package for customers to consume away from your establishment.	105.00	210.00	N/A	N/A
<input type="checkbox"/>	NQ1 Retail Drink License	This license allows you to sell beer, wine, and liquor by the drink to customers aboard your airplane or railway, automobile racetrack, horsetrack, convention center or state park.	2060.00	4120.00	N/A	N/A
<input type="checkbox"/>	NQ2 Retail Drink License	This license allows you to sell beer, wine, and liquor by the drink to customers at your Airport, Motel/Hotel, Restaurant, Riverboat, Distillery, Small Farm Winery, or an Entertainment Destination Center.	415.00	830.00	N/A	N/A

<input type="checkbox"/>	NQ3 Retail Drink License	beer, wine, and liquor by the drink to customers at your Bed and Breakfast, Dining Car, or Private Social Club	155.00	310.00	N/A	N/A
<input type="checkbox"/>	NQ4 Retail Malt Beverage Drink License	This license allows you to sell beer by the drink to customers at your establishment.	105.00	210.00	N/A	N/A
<input type="checkbox"/>	Out-of-State Distilled Spirits and Wine Supplier's License	This license is for out-of-state wineries, distilleries, or wholesalers that want to ship more than 50,000 gallons of wine and/or liquor annually to Kentucky wholesalers.	775.00	1550.00	3100.00	N/A
<input type="checkbox"/>	Out-of-State Malt Beverage Supplier's License	This license is for out-of-state breweries or distributors that want to ship more than 25,000 barrels/775,000 gallons of beer (or cider less than 7% ABV) annually to Kentucky distributors.	775.00	1550.00	3100.00	N/A
<input type="checkbox"/>	Qualified Historic Site License	If your business is on the National Register of Historic Places or a National Historic Landmark, this license allows you to sell beer, wine, and liquor by the drink to your customers.	515.00	1030.00	N/A	N/A
<input type="checkbox"/>	Quota Retail Drink License	This license allows you to sell wine and liquor by the drink at your establishment.	310.00	620.00	N/A	N/A
<input type="checkbox"/>	Rectifier's License - Class A	This license is for a business that takes alcohol and blends it into a new product to be sold to a wholesaler or distributor. This class is for businesses that rectify at least 50,000 gallons annually.	1290.00	2580.00	5160.00	N/A
<input type="checkbox"/>	Rectifier's License - Class B	This license is for a business that takes alcohol and blends it into a new product to be sold to a wholesaler or distributor. This class is for businesses that rectify less than 50,000 gallons annually.	412.00	825.00	1650.00	N/A
<input type="checkbox"/>	Small Farm Winery License	This license is for a business that makes at least 250 gallons but no more than 100,000 gallons of wine (or cider above 7.0%) annually.	55.00	110.00	220.00	N/A
<input type="checkbox"/>	Small Farm Winery Wholesaler's License	This license allows a business to purchase wine from a small farm winery and sell it to retailers.	55.00	110.00	220.00	N/A
<input type="checkbox"/>	Special Agent's or Solicitor's License	This license allows an individual to sell alcohol on behalf of the distiller, rectifier, winery, or wholesaler employing them.	15.00	30.00	N/A	N/A

<input type="checkbox"/>	Alcohol License	alcohol for nonbeverage purposes.	30.00	60.00	N/A	N/A
<input type="checkbox"/>	Transporter's License	This license allows the transport of alcohol by truck, rail, car, etc.	105.00	210.00	N/A	N/A
<input type="checkbox"/>	Wholesaler's License	This license allows a business to purchase wine and/or liquor from wineries and distilleries and sell it to retailers.	1030.00	2060.00	4120.00	N/A
<input type="checkbox"/>	Winery License	This license is for a business that makes wine (or cider above 7.0%).	515.00	1030.00	2060.00	N/A

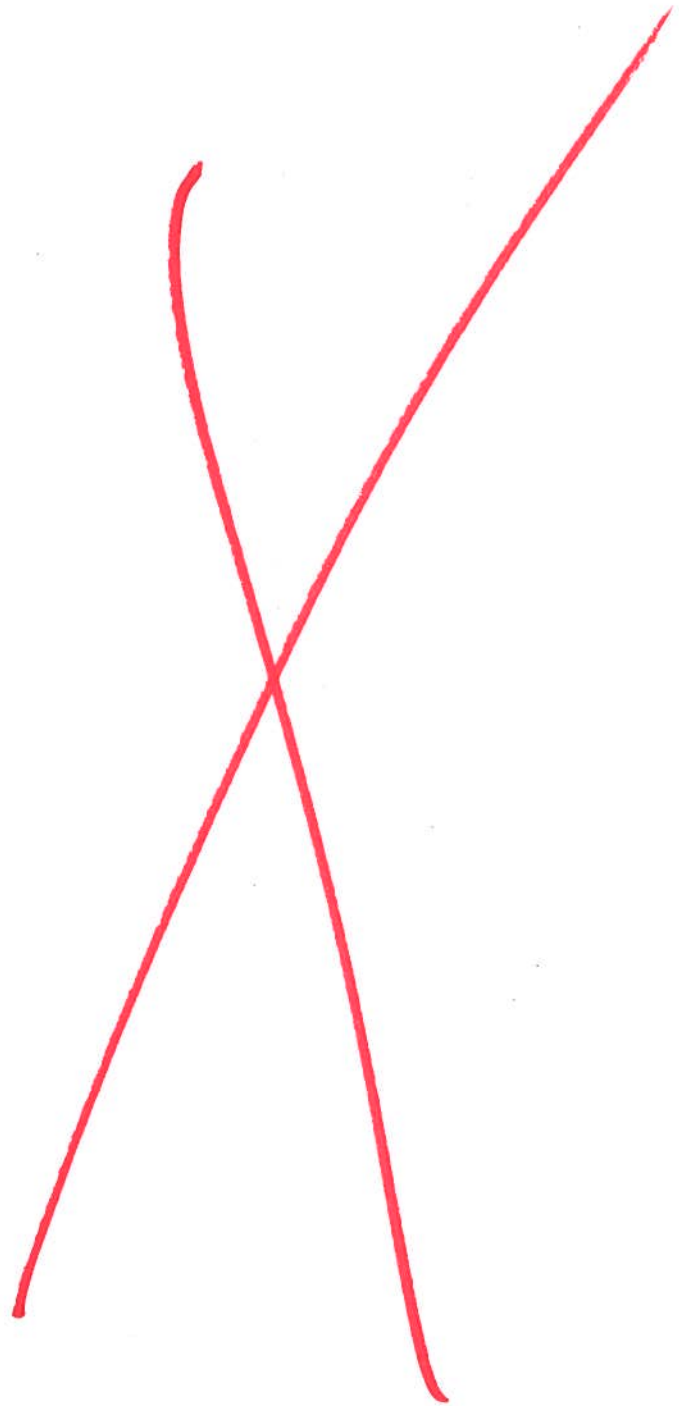
Entertainment Destination Center License Note: you can only select one qualifier. If you select wrong qualifier in error; please click refresh at the top of this page

Product Type	Product Details	Quali...	Half...	One...	Two...
Entertainment Destination Center License	This license is for government operated Entertainment Destination Centers, which are major areas of tourism or economic activity, like downtown areas or Main Street. This license allows intermingling of alcohol between the businesses in the area with proper licensure.	Local Governm...	1288.00	2577.00	N/A
Entertainment Destination Center License	This license is for Entertainment Destination Centers, which are major areas of tourism or economic activity, like malls, downtowns, or food and drink districts. This license allows intermingling of alcohol between the businesses in the area with proper licensure.	All Others	3865.00	7730.00	N/A

Limited Restaurant License Note: You can only select one qualifier for this group. If you select the wrong qualifier in error; please click refresh at the top of this page

Product Type	Product Details	Quali...	Half...	One...	Two...
Limited Restaurant License	This license is for restaurants with a minimum of 70% food sales and a minimum seating capacity of 100 people at tables. It allows you to sell beer, wine, and liquor by the drink to your customers.	LR100	390.00	780.00	N/A
Limited Restaurant License	This license is for restaurants with a minimum of 70% food sales and a minimum seating capacity of 50 people at tables. It allows you to sell beer, wine, and liquor by the drink to your customers. Food must be served with alcohol purchases.	LR50	390.00	780.00	N/A

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License Type	License Details	Quantity	Amount
Direct Shipper License	This License allows the transport of alcohol by in-state/Out-of-state producer.	1	\$100.00

< Back
> Next

AFFIDAVIT

All terms and conditions text regarding the payment.

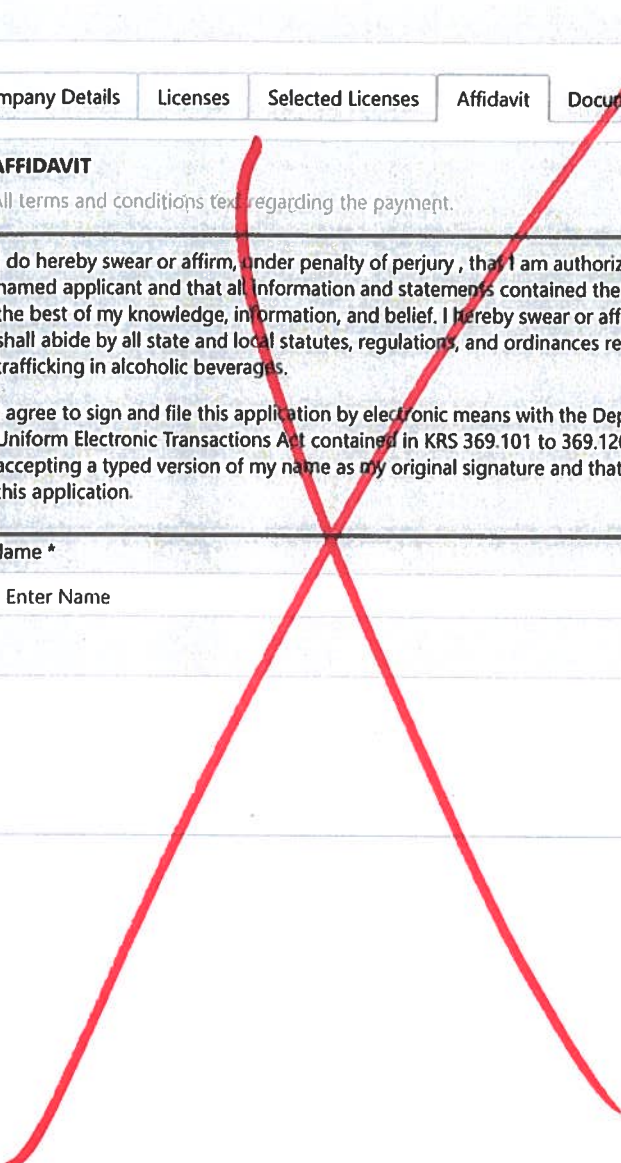
I do hereby swear or affirm, under penalty of perjury, that I am authorized to submit this application on behalf of the named applicant and that all information and statements contained therein and any attachments are true and correct to the best of my knowledge, information, and belief. I hereby swear or affirm that if the license(s) is issued, the applicant shall abide by all state and local statutes, regulations, and ordinances relating to the manufacture, sale, use, and trafficking in alcoholic beverages.

I agree to sign and file this application by electronic means with the Department in compliance with the Kentucky Uniform Electronic Transactions Act contained in KRS 369.101 to 369.120. I further understand that the Department is accepting a typed version of my name as my original signature and that I have typed my name below with intent to sign this application.

Name *

Enter Name

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[Company Details](#) | [Licenses](#) | [Selected Licenses](#) | [Affidavit](#) | [Documents](#) | [Payment](#) | [Submission & Status](#)

DOCUMENTS

Upload the required document as mentioned below

Out Of State Alcohol Supplier License*

Do you want to Submit Your License Application?

< Back
> Submit

